## UTILITY PATENT APPLICATION TRANSMITTAL

|  | <u> </u>                            |                                  | 1_0         | c |
|--|-------------------------------------|----------------------------------|-------------|---|
| Address to: Mail Stop PATENT APPLICATION Commissioner for Patents            | Attorney Docket<br>No.              | UOM 0280 PUSP                    | 264 U       |   |
| U.S. Patent & Trademark Office<br>P.O. Box 1450<br>Alexandria, VA 22313-1450 | Inventor(s) or<br>Appln. Identifier | Brian D. Noble and Landon P. Co. | x<br>X<br>X |   |

| 1.   |  | This application entitled <u>Peer-To-Peer Method And System For Performing And Managing Backups In A Network of Nodes</u> is: |  |                                 |  |  |
|------|--|---|--|---------------------------------|--|--|
|      | a  |   | A new application under 37 C.F.R. § 1.53(b).   |                                 |  |  |
|      | b  |   | A continuation divisional or continuation-in-pa<br>§ 1.53(b) of prior application Serial No/ filed on  |                                 |  |  |
|      | ¢  | <u>X</u> .  | A new utility application which claims the benefit of pro-<br>60/424,831 , filed on 11/08/2002   | visional application Serial No. |  |  |
| App  | olication  | elemei  | nts and other attached papers:   | •                               |  |  |
| 2.   | <u>_X_</u>   | Spe   | ecification (including Claims and Abstract)  | [Total Pages <u>26</u> ]        |  |  |
| 3.   | <u>X</u>   | Dra   | awings ( informal / X formal)  | [Total Sheets_2]                |  |  |
| 4.   |  | Oa  | th or Declaration  |                                 |  |  |
|      | a  |   | Newly-executed   | C.                              |  |  |
|      | b  |   | Copy from a prior application (37 C.F.R. § 1.63(d))  | f                               |  |  |
| 5.   | Incorporation By Reference: The entire disclosure of the prior application, from which a copy of the oath or declaration is supplied under Item 4b, is considered as being part of the disclosure of the accompanying application and is hereby incorporated by reference therein. |   |  |                                 |  |  |
| 6.   |  |   | is application is filed by fewer than all the inventors named in the .53(d)(4).  | e prior application, 37 C.F.R.  |  |  |
|      | a  |   | DELETE the following inventor(s) named in the prior nonprovis  | sional application:             |  |  |
|      | b  | _   | The inventor(s) to be deleted are set forth on a separate sheet att  | ached hereto.                   |  |  |
|      |  |   | CERTIFICATION UNDER 37 C.F.R. § 1.10  at this UTILITY PATENT APPLICATION TRANSMITTAL and the docume deposited on the below date with the United States Postal Service in a |                                 |  |  |
| Po   | st Office  | to Add  | dressee" addressed to: Mail Stop Patent Application, Commissioner for P.O. Box 1450, Alexandria, VA 22313-1450 on:   |                                 |  |  |
|      | opress<br>ail Label I  | No. <u>EV</u>   | /212245519US Donna Blake   | son mailing paper)              |  |  |
| . Dá | ate of Dep   | oosit: _  | October 30, 2003 (Signature f person maili   | 2ale                            |  |  |

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| 7.                      | Prei | ıımınary         | Amendment:  |                 |                 |                   |                      |        |  |
|-------------------------|------|------------------|---|-----------------|-----------------|-------------------|----------------------|--------|--|
|                         | a.   |                  | A Preliminary Amendment Un                                    | nder 37 C.F.I   | R. § 1.115 is   | attached.         | •                    |        |  |
|                         | b.   |                  | Cancel in this application orig the filing fee.               | inal claims _   | of              | the prior applic  | cation before calcul | ating  |  |
|                         | c.   |                  | Please amend the specification                                | n by inserting  | before the fir  | rst line the foll | owing sentence:      |        |  |
|                         |      |                  | "This is a con  | tinuation _     | div             | isional of cope   | nding                |        |  |
|                         |      |                  | application(s) serial numb                                    | per _/          | filed on        | ·"                |                      |        |  |
|                         | d.   |                  | A Petition to Suspend Prose<br>Application Filed Concurrently |                 |                 | cessary to File   | e An Amendment (     | Nev    |  |
| 8. Small entity status: |      |                  |   |                 |                 |                   |                      |        |  |
|                         | a.   | <u>X</u>         | Applicant claims small entity                                 | status.         |                 |                   |                      |        |  |
|                         | b.   |                  | Small entity status was claime proper and desired.            | ed in the pric  | or nonprovisio  | onal applicatio   | n and such status i  | s stil |  |
|                         | c.   |                  | Is no longer desired.   |                 | •               |                   |                      |        |  |
| 9.                      | Fee  | Fee Calculation: |   |                 |                 |                   |                      |        |  |
|                         |      |                  | FOR   | NUMBER<br>FILED | NUMBER<br>EXTRA | RATE              | CALCULATIONS         |        |  |
|                         | тот  | TAL CLAI         | MS (37 C.F.R. § 1.16(c))                                      | 32 -20 =        | 12              | x 18.00           | 216.00               |        |  |

| FOR   | NUMBER<br>FILED | NUMBER<br>EXTRA | RATE    | CALCULATIONS |
|---|-----------------|-----------------|---------|--------------|
| TOTAL CLAIMS (37 C.F.R. § 1.16(c))  | 32 -20 =        | 12              | x 18.00 | 216.00       |
| INDEPENDENT CLAIMS (37 C.F.R. § 1.16(b))  | 2 -3 =          | 0               | x 86.00 | 0.00         |
| MULTIPLE DEPENDENT CLAIMS (if applicable)                                       | 0.00            |                 |         |              |
| BASIC FEE (37 C.F.R. § 1.16(a))   |                 |                 |         | 770.00       |
| TOTAL OF ABOVE CALCULATIONS   |                 |                 |         | 986.00       |
| REDUCTION BY 50% FOR FILING BY SMALL ENTITY (NOTE 37 C.F.R. §§ 1.9, 1.27, 1.28) |                 |                 |         | 493.00       |
| \$40.00 ASSIGNMENT RECORDAL FEE (if applicable)                                 |                 |                 | 0.00    |              |
|   |                 |                 | TOTAL   | 493.00       |

- 10.  $\underline{X}$  A check in the amount of \$493.00 is enclosed.
- The Commissioner is hereby authorized to credit overpayments or charge the following fees (or any deficiency therein) to Deposit Account No. <u>02-3978</u>:
  - a. X Fees required under 37 C.F.R. § 1.16.
  - b. X Fees required under 37 C.F.R. § 1.17.
- 12. Maintenance of Copendency of Prior Application

| A request for extension of time and the appropriate fee have been filed in the pending prior application |
|--|
| (or are being filed in the prior application concurrently herewith) to extend the period for response    |
| until  |
|  |

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| 13.  |                | An Information Disclosure Statement (I thereto:                    | IDS) is attached, along with the following indicated attachments |  |  |  |  |
|------|----------------|--|--|--|--|--|--|
|      | a.             | Form PTO/SB/08 ( sheet(s   | s))  |  |  |  |  |
|      | b.             | Copies of references cited   |  |  |  |  |  |
| 14.  |                | Certified copy of priority document(s)                             | AND English Translation Document (if applicable)                 |  |  |  |  |
| 15.  | <u></u>        | Nonpublication Request Under 37 C.F                                | F.R. § 1.213(a)  |  |  |  |  |
| 16.  |                | Request for Early Publication Under 3                              | 37 C.F.R. § 1.219  |  |  |  |  |
| 17.  | <u>X</u>       | Return Receipt Postcard  |  |  |  |  |  |
| 18.  |                | Other:   |  |  |  |  |  |
| 19.  |                | An Assignment of the invention tohaving an address of              |  |  |  |  |  |
|      | a              | is attached.   |  |  |  |  |  |
|      | b              | was recorded on  | at Reel, Frame   |  |  |  |  |
|      | c              | Applicant requests that the above                                  | assignee information be published with the application.          |  |  |  |  |
| 20.  | The p          | ower of attorney in the prior application is                       | s to:  |  |  |  |  |
|      |                | ,  | <u></u>  |  |  |  |  |
|      |                | Name of Attorney of Record   | Reg. No.   |  |  |  |  |
|      |                | The power appears in the original pape                             | ers in the prior application.                                    |  |  |  |  |
|      |                | The power does not appear in the original papers, but was filed on |  |  |  |  |  |
|      |                | A new power has been executed and is                               | s attached.  |  |  |  |  |
| 21.  | Corre          | spondence Address: Please address all fut                          | ture communications to:  |  |  |  |  |
|      |                | CUSTOMER NO.   |  |  |  |  |  |
|      |                | 22045  |  |  |  |  |  |
|      |                | Telephone: 248-358-4400; Fax: 248-                                 | -358-3351  |  |  |  |  |
|      |                |  | Respectfully submitted,  |  |  |  |  |
| Date | e <u>Octob</u> | er 30, 2003  | Brian D. Noble and Landon P. Cox  Name: David R. Syrowik         |  |  |  |  |
|      | •              |  | Registration No.: 27,956   |  |  |  |  |
|      |                |  | Attorney or agent of record  X Filed under Rule 34(a)            |  |  |  |  |

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